
FREQUENTLY ASKED QUESTIONS

What is the origin of Quorum Private Wealth?

Quorum Private Wealth was founded in 2021 by a team of experienced and well-credentialed wealth advisors with the primary objective of putting our clients' interests first.

Quorum is an independent firm*, meaning we use an open architecture, non-proprietary platform, which gives us the freedom and flexibility to tailor our recommendations and source solutions for our clients from a wide range of best-in-class resource partners. Our independence enables us to continue to provide our comprehensive service and guide our clients toward the achievement of their goals with objectivity, integrity, competency, transparency, and collaboration

Why did you decide to join Quorum Private Wealth?

I was eager to become an independent advisor, knowing that I can serve you better working for a firm that prioritizes clients' needs over everything else.

In addition to the many benefits I can now provide to my clients by working for an independent firm, my attraction to joining Quorum was driven by the people who started the firm. I had first met co-founder Kelly Milligan years ago while we served on advisory councils that reported to Merrill leadership. In fact, both Kelly and co-founder Mike Barry were members of Merrill "faculty" who visited offices nationally; I was always impressed by their knowledge, organization, and genuine desire to help better others. I saw the opportunity to join Quorum as an exciting way to collaborate with other professionals whose deep experience would ultimately enhance my ability to deliver a first-class experience to my clients.

How will I benefit by being a client of Quorum Private Wealth?

Working at an independent firm allows me to focus on you even more.

With your best interests as Quorum's only agenda, our team is free to focus exclusively on addressing your individual needs and serving as your true advocate and fiduciary. You will benefit from our collaborative approach enabling you to pursue your financial goals and dreams. Because we are independent and own our own firm, we work in an open architecture, which means that you will also benefit from our access to best-in-class products and services from a wide variety of resource partners.

What will change?

Services, processes and fees will remain the same, however the capabilities I can now provide will expand.

At Quorum Private Wealth, we provide the high-quality financial planning and operational services that you are accustomed to, with no change to the fee you pay. Our investment approach, starting with an understanding of your financial objectives and the engagement of non-proprietary investment management for implementation will continue. Our capabilities will include enhanced technology



supporting our financial planning and operational processes, as well as access to more investment products and strategies, created by working in an open architecture environment.

Where will my assets be held, and will they be safe?

Quorum Private Wealth has selected BNY Mellon | Pershing as our custodian for client assets.

The safety and security of your assets is of critical importance to us. With that in mind, and after a rigorous due diligence process, we selected BNY Mellon | Pershing as our custodian based on their infrastructure, research, technology, and service capabilities. We are incredibly impressed with the measures they take to protect client assets, and we are reassured by their strength, size, and reputation in the industry.

Founded in 1939, Pershing is the #1 clearing firm in the U.S. and is the trusted choice of more than 1,300 financial institutions, representing seven million investors. Pershing custodies \$2.0T Global client assets and maintains \$2.0B in net capital. Pershing's parent company, BNY Mellon, with \$41.1T in assets under custody and/or administration, is a leading provider of investment management and investment services and the oldest financial institution in the U.S., and was founded by Alexander Hamilton in 1784.

Why wasn't I told sooner about your decision to join a new firm?

Legally, I was not permitted to do so.

While I would have enjoyed the opportunity to share my plans with you in advance, legal considerations and contractual restrictions prevented me from doing so. I am committed to respecting and abiding by those covenants as an investment professional, even though it was personally challenging to not have the ability to speak with you beforehand.

How can I contact you?

While Quorum's main office is in California, I will manage our East Coast presence from my office in New Jersey.

New York Metro Area Office
89 Headquarters Plaza North, Suite 1415
Morristown, NJ 07960

San Francisco Bay Area Office
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Our website is www.quorumpw.com

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What are the next steps?

I would be honored to continue to work with you.

I will be reaching out to you in the coming days to introduce you to Quorum Private Wealth and share more details of the benefits of engaging with my new firm. I will walk you through the process we will follow to ensure a smooth transition of your accounts and assets. I sincerely believe that the opportunity to retain our longstanding relationship – with our personal, proven commitment to your individual needs, combined with the newly available benefits of our independent firm – will outweigh any minor inconvenience posed by the transition itself.

** Quorum Private Wealth is a DBA of Sanctuary Securities, Inc. and Sanctuary Advisors, LLC; however, we own 100% of our independent firm. To provide our clients with the services we offer, we are Registered Representatives of Sanctuary Securities Inc. (Member FINRA, SIPC) and Investment Advisor Representatives of Sanctuary Advisors, LLC, an SEC Registered Investment Advisor.*